

Appendix to the article

Explaining the effectiveness of inter-organizational peace operations: AU-EU cooperation in the Central African Republic

Friedrich Plank, JGU Mainz

Journal of Intervention and Statebuilding

1. List of semi-structured expert-interviews referred to in this article	1
2. Search in the Nexis-database conducted for this article	1
3. Indicators and key questions for assessing goal achievement	2

1. List of semi-structured expert-interviews referred to in this article

Cited as	Function interviewee	Date and place of interview
EU_MS 2	EU Member State Representative	12 Oct 2015, Brussels
EU 2	EU Official	13 Oct 2015, Brussels
EU 6	EU Official	22 Feb 2017, Nairobi
AU_MS 1	AU Member State Representative	22 Feb 2017, Nairobi
AU_MS 2	AU Member State Representative	27 Feb 2017, Addis Ababa
EU 7	EU Official	1 Mar 2017, Addis Ababa
EU 8	EU Official	1 Mar 2017, Addis Ababa
EU 9	EU Official	7 Mar 2017, Addis Ababa
EU 10	EU Official	7 Mar 2017, Addis Ababa
EU 11	EU Official	8 Mar 2017, Addis Ababa
AU 1	AU Official	9 Mar 2017, Addis Ababa
EU_MS 10	EU Member State Representative	10 Mar 2017, Addis Ababa
EU 15	EU Official	12 Nov 2018, Brussels
EU 19	EU Official	15 Nov 2018, Brussels
EU 21	EU Official	16 Nov 2018, Brussels
AU 2	AU Official	16 May 2019, Addis Ababa
AU 3	AU Official	16 May 2019, Addis Ababa
AU 4	AU Official	21 May 2019, Addis Ababa
AU 5	AU Official	24 May 2019, Addis Ababa

Table App. 1. Interviews conducted

All interviews were conducted by the author in a face-to-face setting and lasted between 60 and 90 minutes. Questions involved general aspects of Africa-EU relations, the efforts of the AU, EU and UN in the Central African Republic, and detailed insights into the operations' effectiveness and conditions constraining or conducive to the outcome of the interventions. The author guaranteed the interviewees full anonymity.

2. Search in the Nexis-database conducted for this article

For this article, I have conducted a specific query in the Nexis-database, accessed via <http://www.nexisuni.com>. This query searched for any reports associated with the AU-EU efforts in the Central African Republic between 2011 and 2015 in order to additionally collect background information on the conflict.

The press reports were systematically collected, auto-standardised, and prepared for coding by using *atlas.ti*, and structural coded using the software *MAXQDA*. The press reports refer to sources from news agencies such as Africa News, BBC, Reuters, DPA, AFP, Xinhua, Wire, and many others, and include African news agencies. In order to provide for insights into the development of conflicts and previous engagements in a crisis, press reports were collected before and after the engagement.

CAR	Year	2011	2012	2013	2014	2015	Total
	Reports	377	414	1 717	3 265	1 106	6 979

Table App. 2. Nexis data: Central African Republic collection

Since French and English represent the dominant official (media) languages in the region, the queries included both French and English terms. The queries read as follows:

((CAR) OR (Central African Republic) OR (République centrafricaine) AND (European Union) OR (EU) OR (European Commission) OR (European Council) OR (Union européenne) OR (UE) AND (African Union) OR (AU) OR (African Union Commission) OR (Union africaine) OR (African Peace Facility))

These searches allow for replication using the same queries and time periods (replication has been tested several times by the author).

3. Indicators and key questions for assessing goal achievement

The assessment of specific goals outlined in the mandates refers to specific indicators and key questions for each single goal.

Goals	Key question	Measures of progress
EU		
Contribute to the provision of a safe and secure environment	Has the EU contributed to a stabilization of the situation in the districts covered by EUFOR RCA?	Presence of EUFOR RCA in Bangui; decrease of violence in Bangui
Support to regional and international efforts	Has the EU contributed to actions by other actors?	Presence of EUFOR RCA in Bangui; support to coordination efforts
Create conditions conducive to the provision of humanitarian assistance	Has the EU contributed to the provision of humanitarian assistance?	Presence of EUFOR RCA and effects on aid; humanitarian assistance by EU; scope and area of humanitarian assistance
AU		
Restore security and political order	Has AU contributed to the initialization of a political process?	AU actions in response to political disorder; AU contribution to political process
Stabilisation of the CAR	Has the AU contributed to stabilization of the situation?	Presence of MISCA troops throughout the country
Security Sector Reform	Has the AU contributed to SSR?	Disarmament of militias; Economic programs for militias;
Return of displaced persons	Has the AU contributed to the return of displaced persons?	Return of displaced persons; return of Muslims to their homes
Inter-organisational partnership		
Handover to UN-led operation	Have the partners contributed to a UN handover?	Establishment of UN operation
Close coordination between EUFOR RCA, MISCA, and the French-led operation	Have the operations coordinated and attributed tasks?	Attribution of districts; coordination of activities; coordination on needs

Table App. 3. Key questions for assessing goal achievement