

Annex for DFG Application “African Regional Organisations as Mediators in Peace Negotiations (AFROM)”

Sample of cases for analysis within the project

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This annex briefly outlines a tentative sample of cases for the Deutsche Forschungsgemeinschaft (DFG) proposal “African Regional Organisations as Mediators in Peace Negotiations (AFROM)”. It covers mediation attempts by African ROs in Africa for the years 1993-2019. The sample draws on data obtained from three sources: the Uppsala Conflict Data Program (UCDP) Managing Intrastate Conflict (MIC) Dataset which contains all third party interventions in conflict dyads in Africa between 1993 and 2007 (Melander/von Uexkull 2011), the UCDP Peace Agreement Dataset that covers peace agreements signed between at least two opposing primary warring parties in an armed conflict and their type of settlement 1975-2018 (Pettersson et al. 2019), and the UCDP Dyadic Dataset that has been used for assessing the dyads as specified by the UCDP (Pettersson et al. 2019). Since the datasets do not provide for the whole period of analysis (1993-2019), the sample additionally draws on collected information such as secondary literature, press reports and official documents collected for the years 2008-2019. Overall, this tentative sample refers to 37 cases of RO mediation in Africa. In the proposal, we define mediation as a “process of conflict management, related to but distinct from the parties’ own efforts, whereby the disputing parties [...] seek the assistance, or accept an offer of help from an individual, group, state or organization to change, affect or influence their perceptions or behaviour, without resorting to physical force, or invoking the authority of the law” (Bercovitch et al.1991: 8). In cases where there have been several mediation attempts in order to settle a specific conflict over a period such as in the South Sudan (2013-2017), we have summarised these to one bigger case, arguing that one mediation attempt might cover several rounds of talks between the conflict parties.

This sample might further increase in the first phase of the proposed project when we scrutinise additional (and potentially more recently published versions of) the datasets for additional cases of African RO mediation. It constitutes the basis for the QCA-analysis in the first phase of the project.

Table 1. Sample of cases: Mediation by African ROs in Africa (1993-2019)

Dyad_name/ case	Start	End	Mediator	Role of RO	Settlement
Government of Rwanda - FPR	1993	1993	Tanzania, AU, Belgium	co-mediator	full settlement
Government of Sudan - SPLM/A	1994	1998	IGAD	lead mediator	process agreement
Government of Sierra Leone – RUF	1996	1999	ECOWAS, UN (and others)	co-mediator	full settlement
Government of Congo - Cobras	1997	1997	Gabon, AU, UN	co-mediator	Partial settlement
Government of Burundi - Frolina/ CNDD	1998	2000	AU, UN	co-mediator	full settlement
Government of Guinea-Bissau - Military Junta for the Consolidation of Democracy, Peace and Justice	1998	1999	ECOWAS	lead mediator	full settlement
Government of Comoros - MPA/Republic of Anjouan	1999	2000	AU (EC/EU)	lead mediator	partial settlement
Government of Sudan - SPLM/A	1999	2005	IGAD	lead mediator	full settlement
Government of DR Congo (Zaire) - MLC + RCD	2000	2002	AU, SADC, UN (changing mediators)	co-mediator	full settlement
Government of Comoros - MPA/Republic of Anjouan	2000	2001	AU (LAS)	lead mediator	partial settlement

Government of Ivory Coast - MPCJ + MPIGO + MJP + FRCI	2002	2004	ECOWAS	lead mediator	full settlement
Government of Liberia - LURD + MODEL	2002	2003	ECOWAS (AU, EC/EU)	lead mediator	full settlement
Government of Somalia – SRRC	2002	2004	IGAD (later US, EC/EU, AU)	co-mediator	partial settlement
Government of Comoros - MPA/Republic of Anjouan	2003	2003	AU	lead mediator	full settlement
Government of Burundi - Palipehutu-FNL	2005	2007	AU (EC/EU and others)	lead mediator	partial settlement
Government of Ivory Coast – FRCI	2005	2007	AU (later AU + ECOWAS)	lead mediator	full settlement
Government of Sudan - SLM/A + JEM	2005	2006	AU (EC/EU, US, and others)	lead mediator	partial settlement
Government of Uganda - LRA	2007	2007	AU	lead mediator	partial settlement
Zimbabwe political crisis	2007	2007	SADC	lead mediator	full settlement
Government of Sudan - SLM/A + JEM	2007	2007	AU/ UN	co-mediator	minor settlement
Kenya, post election violence	2007	2007	AU	lead mediator	partial settlement
Guinea political crisis	2007	2007	ECOWAS	lead mediator	partial settlement
Guinea political crisis	2009	2009	ECOWAS	lead mediator	partial settlement
Madagascar political crisis	2009	2011	SADC	lead mediator	partial settlement
Niger political crisis	2009	2010	ECOWAS, AU	co-mediator	partial settlement
Ivory Coast political crisis	2010	2010	AU (Contact Group), ECOWAS	co-mediator	no agreement
Ivory Coast political crisis	2010	2010	AU	lead mediator	no agreement
South Sudan Government – Machar	2012	2017	AU (UN, EU)	lead mediator	no agreement
Mali Government - Azawad	2012	2013	ECOWAS	lead mediator	process agreement
South Sudan Government – Machar	2012	2019	IGAD	lead mediator	partial settlement
Lesotho political crisis	2014	2015	SADC	lead mediator	partial settlement
Burundi political crisis	2015	2015	EAC, COMESA, AU	co-mediator	no agreement
Burundi political crisis	2015	2018	AU, EAC	co-mediator	no agreement
Libya Government - militias	2016	2017	AU	lead mediator	no agreement
The Gambia political crisis	2016	2017	ECOWAS	lead mediator	partial settlement
Central African Republic crisis	2016	2019	AU	lead mediator	partial settlement
West Sahara - Morocco	2017	2017	AU	lead mediator	process agreement

The case selection for the within-case analysis in the second phase of the proposed project will be informed both by QCA results as well as theory-based and research-practical considerations. Albeit the final decision on case selection for the within-case analysis will be taken after the implementation of QCA, the tentative case selection as outlined in the proposal is based on this sample, too.

References

- Bercovitch, J/Anagnoson, T/Wille, L (1991): Some Conceptual Issues and Empirical Trends in the Study of Successful Mediation in International Relations, *Journal of Peace Research* 28:1, 7-17.
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